

**Proof:** Let  $(u, v) \in E(G)$ . Since  $g(G) \geq 4$  we have  $N(u) \cap N(v) = \emptyset$ . Then there are at most  $2k(k-1) + 2$  vertices at distance at most three from at least one of the vertices  $u$  and  $v$ . Since  $e(G) = \frac{n^2}{2}$  it follows that there are at least  $\frac{n^2}{2(2k-1)[k(k-1)+1]}$  edges whose neighbors are disjoint (since  $g(G) \geq 4$ ). Label  $st(u) = st(v) = 1$ . Then  $2k$  vertices (including  $u$  and  $v$ ) are dominated. Hence, running over all such edges we have that at least  $\frac{nk}{2(2k-1)[k(k-1)+1]} \cdot 2k$  vertices are dominated. The rest of the vertices are labeled 0.

Hence,

$$\begin{aligned} \gamma_S(G; 0, 1) &\leq n - k \frac{nk}{2(k-1)[k(k-1)+1]} + \frac{nk}{2(k-1)[k(k-1)+1]} = n \left(1 - \frac{k}{2(k-1)+1}\right) \leq \\ &n \left(1 - \frac{1}{2k}\right). \end{aligned}$$

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## Chromatic Equivalence Classes of Certain Generalized Polygon Trees, II

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### ABSTRACT

Let  $P(G)$  denote the chromatic polynomial of a graph  $G$ . Two graphs  $G$  and  $H$  are chromatically equivalent, written  $G \sim H$ , if  $P(G) = P(H)$ . A graph  $G$  is chromatically unique if for any graph  $H$ ,  $G \sim H$  implies that  $G$  is isomorphic with  $H$ . In "Chromatic Equivalence Classes of Certain Generalized Polygon Trees", *Discrete Mathematics* Vol.172, 103 - 114 (1997), Peng et al. studied the chromaticity of certain generalized polygon trees. In this paper, we present a chromaticity characterization of another big family of such graphs.

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### 1. Introduction

The graphs that we consider are finite, undirected and simple. Let  $P(G)$  denote the chromatic polynomial of a graph  $G$ . Two graphs  $G$  and  $H$  are said to be chromatically equivalent, and we write  $G \sim H$ , if  $P(G) = P(H)$ . A graph  $G$  is chromatically unique if  $G \sim H$  implies that  $H$  is isomorphic to  $G$ . A set of graphs  $S$  is called a chromatic equivalence class if any two element of  $S$  are

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chromatically equivalent, and if any graph which is chromatically equivalent with a graph  $G$  in  $S$  is also isomorphic to some element of  $S$ . Although chromatically unique graphs have been the subject of many recent papers (see [2] and [3]), relatively few results concerning the chromatic equivalence classes of graphs are known.

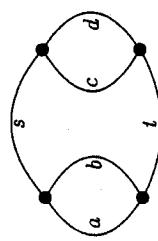


Figure 1.  $G_i^*(a, b; c, d)$

A path in  $G$  is called *simple* if the degree of each interior vertex is two in  $G$ . A *generalized polygon tree* is a graph defined recursively as follows. A cycle  $C_p$  ( $p \geq 3$ ) is a generalized polygon tree. Next, suppose  $H$  is a generalized polygon tree containing a simple path  $P_k$ , where  $k \geq 1$ . If  $G$  is a graph obtained from the union of  $H$  and a cycle  $C_r$ , where  $r > k$ , by identifying  $P_k$  in  $H$  with a path of length  $k$  in  $C_r$ , then  $G$  is also a generalized polygon tree. Consider the generalized polygon tree  $G_i^*(a, b; c, d)$  shown in Figure 1. The integers  $a, b, c, d, s$  and  $t$  represent the lengths of the respective paths between the vertices of degree  $> 2$ , where  $s \geq 0, t \geq 0$ . Without loss of generality, assume that  $a \leq b, a \leq c \leq d$  and if  $a = c$ , then  $b \leq d$ . Thus,  $\min\{a, b, c, d\} = a$ . Let  $r = s+t$ . We now form a family  $C_r(a, b; c, d)$  of the graphs  $G_i^*(a, b; c, d)$  where the values of  $a, b, c, d$  and  $r$  are fixed but the values of  $s$  and  $t$  vary; that is

$$C_r(a, b; c, d) = \{ G_i^*(a, b; c, d) \mid r = s + t, s \geq 0, t \geq 0 \}.$$

It is clear that the families  $C_0(a, b; c, d)$  and  $C_1(a, b; c, d)$  are singletons.

Note that  $G_i^*(a, b; c, d)$  is a connected  $(n, n+2)$  graph, whose chromatic polynomials were computed by Chao and Zhao (see [1]), who also determined several chromatic equivalence classes, excluding among others the graph  $G_i^*(a, b; c, d)$ .

In [6], Peng et al. showed that  $C_r(a, b; c, d)$  is a chromatic equivalence class for  $a, b, c, d$  at least  $r+3$ . As a corollary, the graph  $G_i^*(a, b; c, d)$  is chromatically unique for  $a, b, c, d$  at least four (see also Peng [5]). In [4], Ornooni and Peng characterized the chromaticity of  $C_1(a, b; c, d)$  for the minimum  $a, b, c$ , and  $d$

less than four. In this paper, we characterize the chromaticity of  $C_r(a, b; c, d)$  for the minimum of  $a, b, c$  and  $d$  equal to  $r+2$ , and  $r \geq 2$ . Also we discover that the following conjecture is not true for each  $r \geq 2$ .

**Conjecture [6].** *The family of graphs  $C_r(a, b; c, d)$  is a chromatic equivalence class whenever  $a, b, c$ , and  $d$  are each at least four.*

In the remaining of this section, we give some known results that will be used to prove our main theorem. The girth of  $G$ , denoted by  $g(G)$ , is the length of a shortest cycle of  $G$ .

**Theorem A (Whitney [7]).** *Let  $G$  and  $H$  be chromatically equivalent graphs. Then*

- (a)  $|V(G)| = |V(H)|$ .
- (b)  $|E(G)| = |E(H)|$ .
- (c)  $g(G) = g(H)$ .
- (d)  $G$  and  $H$  have the same number of shortest cycles.

**Theorem B (Chao and Zhao [1], Peng et al. [6]).** *All the graphs in  $C_r(a, b; c, d)$  are chromatically equivalent.*

By this theorem we only need to compute  $P(G_i^*(a, b; c, d))$  for computing the chromatic polynomial of  $G_i^*(a, b; c, d)$ .

The next result is Case 1 in the proof of Theorem 6 in [6].

**Theorem C (Peng et al. [6]).** *If  $G_i^*(a, b; c, d)$  and  $G_i^*(a', b'; c', d')$  are chromatically equivalent and  $s+t = s'+t'$ , then  $G_i^*(a', b'; c', d') \in C_r(a, b; c, d)$ , where  $r = s+t$ .*

The next result gives the chromatic polynomial of  $G_i^*(a, b; c, d)$ . In [1], Chao and Zhao also determined the chromatic polynomial of this graph, but we shall use the computed chromatic polynomial of  $G_i^*(a, b; c, d)$  in [6] to prove our main results.

**Theorem D (Peng et al. [6]).** *Let the order of  $G_i^*(a, b; c, d)$  be  $n$ , i.e.  $n = a+b+c+d+r-2$ , and  $x = 1 - \lambda$ . Then we have*

$$P(G_i^*(a, b; c, d)) = \frac{(-1)^n x}{(x-1)^2} \cdot Q(G_i^*(a, b; c, d)).$$

where

$$\begin{aligned} Q(G_i^*(a, b; c, d)) &= (x^{r+1} - x^{a+b+r} - x^{c+d+r} + x^{r+1} - x) - \\ &\quad (1 + x + x^2) + (x + 1)(x^a + x^b + x^c + x^d) - \\ &\quad (x^{a+c} + x^{a+d} + x^{b+c} + x^{b+d}). \end{aligned}$$

## 2. Main Theorem

In this section, we shall characterize the chromaticity of the family  $C_r(a, b; c, d)$  when  $\min\{a, b, c, d\} = r + 2$ , which gives us two counterexamples for the Conjecture.

**Theorem 1.** *The family of graphs in  $C_r(a, b; c, d)$  is a chromatic equivalence class if  $r \geq 2$  and  $\min\{a, b, c, d\} = r + 2$ , except the two families  $C_r(r+2, b; b+r+2)$  and  $C_r(r+2, c+r+2; c, c+1)$ .*

**Proof.** Let  $G = G_i^*(a, b; c, d) \in C_r(a, b; c, d)$  and  $H \sim G$ . By Lemma 4 and

Theorem 2 in [1],  $H \cong G_i^*(a', b'; c', d')$ , where  $a', b', c', d' \geq 1$ . Let  $r' = s' + t'$ . If  $r' = r$ , then by Theorem C,  $H \in C_r(a, b; c, d)$ . Now assume  $r' \neq r$ . We

solve the equation  $Q(G) = Q(H)$ . After cancelling the terms  $x^{r+1}, -x$  and  $-(1 + x + x^2)$ , we have  $Q_1(G) = Q_1(H)$  where

$$Q_1(G) = x^{r+1} + (x + 1)(x^a + x^b + x^c + x^d) - x^{r+a+b} - x^{r+c+d} - x^{r+c} - x^{r+d} - x^{b+c} - x^{b+d},$$

$$Q_1(H) = x^{r'+1} + (x + 1)(x^{a'} + x^{b'} + x^{c'} + x^{d'}) - x^{r'+a'+b'} - x^{r'+c'+d'} - x^{r'+c'} - x^{r'+d'} - x^{b'+c'} - x^{b'+d'},$$

and  $a + b + c + d + r = a' + b' + c' + d' + r'$ ;  $a \leq b, a \leq c \leq d, d' \leq b'$ ,  
 $a' \leq c' \leq d'$ .

Since by assumption  $\min\{a, b, c, d\} = r + 2$ , the term  $x^{r+1}$  in  $Q_1(G)$  cannot be cancelled. Hence  $x^{r+1}$  is in  $Q_1(H)$  and this implies  $r + 1 = \min\{r' + 1, a', b', c', d'\}$ . Thus  $r' + 1 = r + 1$  or  $a' = r + 1$ . By our assumption, we must have  $a' = r + 1$ . Since  $a = \min\{a, b, c, d\} = r + 2$ , we have  $Q_2(G) = Q_2(H)$  where

$$\begin{aligned} Q_2(G) &= x^{r+3} + (x + 1)(x^b + x^c + x^d) - x^{2r+b+2} - \\ &\quad x^{r+c+d} - x^{r+c+2} - x^{r+d+2} - x^{b+c} - x^{b+d}, \end{aligned}$$

where  $b' = c' + 1$  and  $b' = r + 1 + b'$  (since  $a' = r + 1$ ).

Moreover, we have

$$\begin{aligned} Q_3(H) &= (x + 1)(x^b + x^c + x^d) - x^{2r+b+4} - \\ &\quad x^{r+c+d+2} - x^{r+c+1} - x^{r+d+1} - x^{b+c'} - x^{b+d'}, \\ \text{and } c + d &= b' + d' + 1; r + 2 \leq b \leq c \leq d, r + 3 \leq b' = c' + 1, b = c' \leq d'. \end{aligned}$$

$$\begin{aligned} Q_2(H) &= x^{r'+1} + (x + 1)(x^b + x^c + x^d) - x^{r'+r+b'+d'} - \\ &\quad x^{r'+c+d'} - x^{r'+c+1} - x^{r'+d+1} - x^{b'+c'} - x^{b'+d'}, \end{aligned}$$

$$\text{and } b + c + d + r + 1 = b' + c' + d' + r';$$

$$r + 2 = a \leq b, r + 2 \leq c \leq d, r + 1 \leq b', r + 1 \leq c' \leq d'.$$

The lowest power positive term in  $Q_2(G)$  cannot be cancelled, hence we have  $\min\{b', c', d', r' + 1\} \geq r + 2$ . The term  $x^{r+3}$  in  $Q_2(G)$  cannot be cancelled.

Hence  $x^{r+3}$  is a term in  $Q_2(H)$ , and thus we have  $r' + 1 = r + 3$  or  $b' = r + 3$  or  $c' = r + 3$  or  $d' + 1 = r + 3$  or  $b' + 1 = r + 3$ .

Since  $b, c, d \geq r + 2$ , we have  $g(H) = g(G) \geq 2r + 4$ . So  $b' \geq r + 3$  because  $a' = r + 1$ . Thus  $b' = r + 2$  is impossible. Also  $d' = r + 3$  or  $d' = r + 2$  imply that  $c' = r + 2$  or  $c' = r + 3$ . Therefore we need to consider only the first four cases (underline).

**Case 1** Suppose  $r' + 1 = r + 3$  (or  $r' = r + 2$ ). Then we have  $Q_3(G) = Q_3(H)$  where

$$Q_3(G) = (x + 1)(x^b + x^c + x^d) - x^{2r+b+2} - x^{r+c+d} - x^{r+c+2} - x^{r+d+2} - x^{b+c} - x^{b+d},$$

$$Q_3(H) = (x + 1)(x^b + x^c + x^d) - x^{2r+b+3} - x^{r+c+d+2} - x^{r+c+1} - x^{r+d+1} - x^{b'+c'} - x^{b'+d'},$$

$$\text{and } b + c + d = b' + c' + d' + 1;$$

$r + 2 \leq b, r + 2 \leq c \leq d, r + 3 \leq b', r + 2 \leq c' \leq d'$ .

It is easy to see that  $\min\{b, c, d\} = \min\{b', c', d'\}$ . We consider two subcases:  $b \leq c$  and  $b > c$ .

**Subcase 1.1** Suppose  $b \leq c$ . Then we have  $\min\{b, c, d\} = b$  and  $g(G) = a + b$ .

Also we have  $b = b'$  (if  $b' \leq c'$ ) or  $b = c'$  (if  $b' > c'$ ). If  $b = b'$ , then  $g(H) = a' + b' = g(G) = a + b$ , and we have  $a = a'$ , a contradiction (since  $a = r + 2$  and  $a' = r + 1$ ). Hence we have  $b = c'$  and  $g(G) = a + b = a + c' = r + 2 + c'$ .

Then  $g(H)$  is equal to either  $a' + b'$  or  $c' + d'$  or  $a' + r' + c' = 2r + 3 + c'$ . Since  $g(H) = g(G)$ , the last possibility is impossible. We now look at the other two possibilities.

**Subcase 1.1.1** Suppose  $g(H) = a' + b' = r + 1 + b'$  (since  $b' = c' + 1$ ). Then  $g(G) = r + 2 + c' = r + 1 + b'$  and we have  $b' = c' + 1 = b + 1$ . Moreover, we have

$$Q_4(G) = Q_4(H) \text{ where } Q_4(G) = (x + 1)(x^c + x^d) - x^{2r+b+2} -$$

$$\begin{aligned} &x^{r+c+d} - x^{r+c+2} - x^{r+d+2} - x^{b+c} - x^{b+d}, \\ Q_4(H) &= (x + 1)(x^b + x^d) - x^{2r+b+4} - \\ &x^{r+c+d+2} - x^{r+c+1} - x^{r+d+1} - x^{2r+1} - x^{b+d+1}, \end{aligned}$$

and  $c + d = b' + d' + 1; r + 2 \leq b \leq c \leq d, r + 3 \leq b' = c' + 1, b = c' \leq d'$ .

It can be seen that the term  $x^c$  in  $Q_4(G)$  cannot be cancelled since if  $c = 2r + b + 2$ , then at least one of the terms  $x^b$  and  $x^{b+1}$  is neither cancelled in  $Q_4(H)$  nor is a term of  $Q_4(G)$ . Thus we must have  $x^c$  in  $Q_4(H)$ . So we have  $c = b'$  or  $c = d'$ .

**Subcase 1.1.1.1** Suppose  $c = b'$  (or  $c = b + 1$ ). Then we have  $d = d' + 1$  and from  $Q_4(G) = Q_4(H)$ , after cancelling equal terms, we have  $Q_5(G) = Q_5(H)$  where

$$Q_5(G) = x^{d+1} - x^{2r+b+2} - x^{r+c+d} - x^{r+c+2} - x^{r+d+2},$$

$$Q_5(H) = x^{d-1} - x^{2r+b+4} - x^{r+b+d+1} - x^{r+b+1} - x^{r+d},$$

The terms  $x^{d+1}$  and  $x^{d-1}$  must be cancelled in  $Q_5(G)$  and  $Q_5(H)$ , respectively; otherwise, there is no solution. The term  $x^{d+1}$  can be cancelled with  $-x^{2r+b+2}$  or  $-x^{r+d+2}$ , and the term  $x^{d-1}$  can be cancelled with  $-x^{2r+b+4}$  or  $-x^{r+b+1}$ . If  $d + 1 = 2r + b + 2$  then  $d - 1 = 2r + b$  and  $Q(G) = Q(H)$  has no solution. If  $d + 1 = r + c + 2$ , then  $d - 1 = r + c = r + b + 1$  and we have many solutions:  $a = r + 2, c = b + 1, d = b + r + 2$ ; and  $a' = r + 1, b' = b + r + 1, b' = r + 2$ . In other words, we have  $G_r^0(r+2, b; b+1, b+r+2) \sim G_{r+2}^0(r+1, b+1; b+r+1)$ , but  $G_{r+2}^0(r+1, b+1; b+r+1) \notin C_r(r+2, b; b+1, b+r+2)$ .

Note that  $G_r^0(r+2, b; b+1, b+r+2) \not\in G_{r+2}^0(r+1, b+1; b, b+r+1)$  where  $b \geq r + 2$ .

**Subcase 1.1.1.2** Suppose  $c = d'$ . Recall that we also have  $b = c' = b' - 1$ . Then  $d = b' + 1 = b + 2$  and from  $Q_4(G) = Q_4(H)$ , after cancelling equal terms, we have  $Q_6(G) = Q_6(H)$  where

$$Q_6(G) = x^{d+1} - x^{2r+b+2} - x^{r+c+d} - x^{r+c+2} - x^{r+d+2} - x^{b+c} - x^{b+d},$$

$$Q_6(H) = x^{d-1} - x^{2r+b+4} - x^{r+b+c+2} - x^{r+b+1} - x^{r+b+1} - x^{b+c+1},$$

Now  $x^{d-1} = x^{b+1}$  in  $Q_6(H)$  cannot be cancelled because  $b \leq c$  but  $x^{b+1}$  is not a term in  $Q_6(G)$ . This is a contradiction.

**Subcase 1.1.2** Suppose  $g(H) = c' + d'$ . Then  $g(G) = r + 2 + c' = c' + d'$ , thus  $d' = r + 2$ . Since  $r + 2 \leq b = c' \leq d' = r + 2$ , we have  $c' = r + 2 = b$ . From  $Q_3(G) = Q_3(H)$ , we have  $Q_7(G) = Q_7(H)$  where

$$Q_7(G) = (x+1)(x^c + x^d) - x^{r+c+2} -$$

$$x^{r+d+2} - x^{r+c+2} - x^{r+d+2} - x^{r+2+c} - x^{r+2+d},$$

$$Q_7(H) = (x+1)(x^{b'} + x^{r+2}) - x^{2r+b'+3} -$$

$$x^{3r+6} - 2x^{2r+3} - 2x^{b'+r+2} - x^{b'+r+2},$$

and  $c + d = b' + r + 3$ ,  
 $a = b = r + 2 \leq c \leq d, d' = r + 1, b' \geq r + 3, c' = r + 2 = d'$ .

The term  $x^{r+2}$  in  $Q_7(H)$  cannot be cancelled, therefore  $x^{r+2}$  is a term of  $Q_7(G)$  and  $c = r + 2$ . Thus  $d = b' + 1$  and after cancelling equal terms, we have  $Q_8(G) = Q_8(H)$  where

$$Q_8(G) = x^{d+1} - x^{3r+4} - x^{2r+2+d} - 2x^{2r+4} - 2x^{r+d+2},$$

$$Q_8(H) = x^{d'-1} - x^{2r+d+2} - x^{3r+6} - 2x^{2r+3} - 2x^{r+d+2}.$$

Note that  $x^{d+1}$  and  $x^{d-1}$  must be cancelled in  $Q_8(G)$  and  $Q_8(H)$  respectively, where

but this is impossible. Therefore there is no solution.

**Subcase 1.2** Suppose  $b > c$ . Thus  $\min\{b, c, d\} = c$ . So we have  $c = b'$  (that is if  $\min\{b', c', d'\} = c'$ ).

if  $\min\{b', c', d'\} = b'$  or  $c = c'$  (that is if  $\min\{b', c', d'\} = c'$ ).

**Subcase 1.2.1** Suppose  $c = b'$ . Then  $g(H) = d' + b' = r + 1 + b' = g(G)$ .

If  $g(G) = a + b = r + 2 + b$ , then  $r + 2 + b = r + 1 + b' = r + 1 + c$  or  $c = b + 1$ . This contradicting our assumption that  $b > c$ . If  $g(G) = c + d$ , then  $c + d = r + 1 + c$  but  $d \geq r + 2$ , a contradiction. If  $g(G) = a + c + r = 2r + c + 2$ , then  $2r + c + 2 = r + 1 + c$  and this is a contradiction. Therefore  $c = b'$  is impossible.

**Subcase 1.2.2** Suppose  $c = c'$ . Then from  $Q_3(G) = Q_3(H)$  we have  $Q_9(G) = Q_9(H)$  where

$$Q_9(G) = (x+1)(x^b + x^d) - x^{2r+b+2} - \\ x^{r+c+d} - x^{r+c+2} - x^{r+d+2} - x^{b+c} - x^{b+d},$$

$$Q_9(H) = (x+1)(x^{b'} + x^{d'}) - x^{2r+b'+3} - \\ x^{r+c+d+2} - x^{r+c+2} - x^{r+d+1} - x^{b'+c} - x^{b'+d'},$$

and  $b + d = b' + d' + 1, a = r + 2 \leq b, c \leq d, c < b$ ;  
 $a' = r + 1 \leq b', c' \leq d', c < b', c \leq d', c \leq b', b' \geq r + 3$ .

Note that there is at least one positive term in  $Q_9(G)$  that cannot be cancelled by a negative term. This can be seen as follows. For the case of  $b \geq d$ , at least one of the terms  $x^b$  or  $x^{b+1}$  cannot be cancelled. Also for the case of  $b \geq d$ , at least one of the terms  $x^d$  or  $x^{d+1}$  cannot be cancelled. Now consider the positive terms in  $Q_9(G)$  and  $Q_9(H)$ . Since  $Q_9(G) = Q_9(H)$ , we have eight possibilities:  
 $b = b', b = b' + 1, b = d', b = d' + 1, b + 1 = b', b + 1 = d', d + 1 = b'$ , or  
 $d + 1 = d'$ .

**Subcase 1.2.2.1** Suppose  $b = b'$ . Then  $d = d' + 1$ , and from  $Q_9(G) = Q_9(H)$ , after cancelling equal terms, we have  $Q_{10}(G) = Q_{10}(H)$  where

$$Q_{10}(G) = x^{d+1} - x^{2r+t+d+2} - x^{r+t+c+d} - x^{r+t+d+2} - x^{r+t+c+1} - x^{r+t+d} - x^{b+d},$$

$$Q_{10}(H) = x^{d-1} - x^{2r+b+3} - x^{r+t+d+1} - x^{r+t+c+1} - x^{r+t+d} - x^{b+d-1}.$$

The term  $-x^{r+t+c+1}$  is in  $Q_{10}(H)$ , but  $-x^{r+t+c+1}$  is not in  $Q_{10}(G)$  (since  $c < b$  and  $c \leq d$ ). Therefore it must be cancelled by a positive term in  $Q_{10}(H)$ . Thus  $r+c+1 = d-1$ , hence  $d+1 = r+c+3$  and the term  $x^{d+1}$  cannot be cancelled in  $Q_{10}(G)$ , which is a contradiction.

**Subcase 1.2.2.2** Suppose  $b = b' + 1$ . Then  $d = d'$ , and from  $Q_9(G) = Q_9(H)$ , after cancelling equal terms, we have  $Q_{11}(G) = Q_{11}(H)$  where

$$Q_{11}(G) = x^{d+1} - x^{r+t+c+d} - x^{r+t+d+2} - x^{r+t+c+1} - x^{b+d-1},$$

$$Q_{11}(H) = x^{b-1} - x^{r+t+c+d+2} - x^{r+t+d+2} - x^{r+t+c+1} - x^{b+d-1}.$$

The term  $-x^{r+t+c+1}$  is in  $Q_{11}(H)$ , but  $-x^{r+t+c+1}$  is not in  $Q_{11}(G)$  (since  $c < b$  and  $c \leq d$ ). Therefore it must be cancelled by a positive term in  $Q_{11}(H)$ . Thus  $r+c+1 = b-1$ , hence  $b+1 = r+c+3$  and the term  $x^{b+1}$  can be cancelled in  $Q_{11}(G)$  if and only if  $b+1 = r+c+3 = r+d+2$ , or  $d = c+1$ . Thus,

we have many solutions:  $a = r+2$ ,  $b = r+c+2$ ,  $d = c+1$ ;  $a' = r+1$ ,  $b' = b-1 = r+c+1$ ,  $c' = c$ ,  $d' = d = c+1$ ,  $r' = r+2$ . In other words, we have  $G_r^0(r+2, r+c+2; c, c+1) \sim G_{r+2}^0(r+1, r+c+1; c, c+1)$  but  $G_{r+2}^0(r+1, r+c+1; c, c+1) \notin C_r(r+2, r+c+2; c, c+1)$  for  $c \geq r+2 \geq 4$ .

Note that  $G_r^0(r+2, r+c+2; c, c+1) \not\cong G_{r+2}^0(r+1, r+c+1; c, c+1)$  for  $c \geq r+2 \geq 4$ .

**Subcase 1.2.2.3** Suppose  $b = d$ . Then  $d = b'+1$ , and from  $Q_9(G) = Q_9(H)$ , after cancelling equal terms, we have  $Q_{12}(G) = Q_{12}(H)$  where

$$Q_{12}(G) = x^{d+1} - x^{2r+t+d+2} - x^{r+t+c+d} - x^{r+t+d+2} - x^{r+t+c} - x^{b+d},$$

$$Q_{12}(H) = x^{d-1} - x^{2r+t+d+2} - x^{r+t+c+b+2} - x^{r+t+c+1} - x^{d+c-1} - x^{b+d-1}.$$

The term  $-x^{r+t+c+1}$  is in  $Q_{12}(H)$ , but  $-x^{r+t+c+1}$  is not in  $Q_{12}(G)$  (since  $c < b$  and  $c \leq d$ ). Therefore it must be cancelled by a positive term in  $Q_{12}(H)$ . Thus  $r+c+1 = d-1$ , hence  $d+1 = r+c+3 = b+c$ , or  $b = r+3$ . Since  $r+2 \leq c < b = r+3$ , we have  $c = r+2$ , and hence  $d = 2r+4$ . So we have a solution for  $Q(G) = Q(H)$ :  $a = r+2$ ,  $b = r+3$ ,  $c = r+2$ ,  $d = 2r+4$ ;

$a' = r+1$ ,  $b' = d-1 = 2r+1 = r+3$ ,  $c' = r+2$ ,  $d' = b = r+3$ ,  $r' = r+2$ . In other words, we have  $G_r^0(r+2, r+3; r+2, 2r+4) \sim G_{r+2}^0(r+1, 2r+3; r+2, r+3)$ , there is but  $G_{r+2}^0(r+1, 2r+3; r+2, r+3) \notin C_r(r+2, r+3; r+2, 2r+4)$  for  $r \geq 2$ .

Note that  $G_r^0(r+2, r+3; r+2, 2r+4) \not\cong G_{r+2}^0(r+1, 2r+3; r+2, r+3)$ . This solution is a special case of solution in Subcase 1.2.2.2, where  $c = r+2$ .

**Subcase 1.2.2.4** Suppose  $b = d'+1$ . Then  $d = b'$ , and from  $Q_9(G) = Q_9(H)$ ,

after cancelling equal terms, we have  $Q_{13}(G) = Q_{13}(H)$  where

$$Q_{13}(G) = x^{d+1} - x^{2r+t+d+2} - x^{r+t+c+d} - x^{r+t+d+2} - x^{r+t+c} - x^{b+d};$$

$$Q_{13}(H) = x^{b-1} - x^{2r+t+d+3} - x^{r+t+c+b+1} - x^{r+t+c} - x^{d+c} - x^{b+d-1}.$$

The term  $-x^{r+t+c+1}$  is in  $Q_{13}(H)$ , but  $-x^{r+t+c+1}$  is not in  $Q_{13}(G)$  (since  $c < b$  and  $c \leq d$ ). Therefore it must be cancelled by a positive term in  $Q_{13}(H)$ . Thus  $r+c+1 = b-1$ , hence  $b+1 = r+c+3$  and the term  $x^{b+1}$  can be cancelled in  $Q_{13}(G)$ , only if  $b+1 = r+c+3 = r+d+2$  or  $d = c+1$ . Thus the term  $-x^{r+t+c+2}$  cannot be cancelled in  $Q_{13}(G)$ , and  $-x^{r+t+c+2}$  is not a term of  $Q_{13}(H)$ ,

which is a contradiction.

**Subcase 1.2.2.5** Suppose  $b+1 = b'$ . Then  $d = d'+2$ , and from  $Q_9(G) = Q_9(H)$ ,

after cancelling equal terms, we have  $Q_{14}(G) = Q_{14}(H)$  where

$$Q_{14}(G) = x^b + (x+1)x^d - x^{2r+t+d+2} - x^{r+t+d+2} - x^{b+c} - x^{b+d};$$

$$Q_{14}(H) = x^{b+2} + (x+1)x^{d-2} - x^{2r+t+b+4} - x^{r+t+c+1} - x^{r+d-1} - x^{b+c+1} - x^{b+d-1}.$$

The term  $-x^{r+t+c+1}$  is in  $Q_{14}(H)$  but it is not in  $Q_{14}(G)$  (since  $c < b$  and  $c \leq d$ ).

Hence  $-x^{r+t+c+1}$  must be cancelled by a positive term in  $Q_{14}(H)$ . We have either  $r+c+1 = b+2$  or  $r+c+1 = d-1$ . If  $r+c+1 = b+2$ , then the term  $x^b = x^{r+t+c+1}$  cannot be cancelled in  $Q_{14}(G)$  which means that  $x^b$  is a term of  $Q_{14}(H)$ . Thus we have either  $b = d-2$  or  $b = d-1$ . In each case the term  $x^d$  cannot be cancelled in  $Q_{14}(G)$ , which is a contradiction.

If  $r+c+1 = d-2$ , then we have the term  $x^{d-1} = x^{r+t+c+2}$  in  $Q_{14}(H)$  and this term cannot be cancelled in  $Q_{14}(H)$  because  $r+2 \leq c < b$ . Since  $-x^{r+t+c+2}$  occurs in  $Q_{14}(G)$ , we must have the term  $2x^{r+t+c+2}$  in  $Q_{14}(G)$ , which is impossible.

If  $r+c+1 = d-1$ , then  $d-2 = r+c$  and the term  $x^{d-2} = x^{r+c}$  cannot be cancelled in  $Q_{14}(H)$ . Thus, we must have the term  $x^{r+c} = x^{d-2}$  in  $Q_{14}(G)$ . This implies  $b = r+c$ . Now the term  $x^{d+2} = x^{r+t+c+2}$  cannot be cancelled in  $Q_{14}(H)$  because  $r+2 \leq c < b$ . Since  $-x^{r+t+c+2}$  occurs in  $Q_{14}(G)$ , we must have the term  $2x^{r+t+c+2}$  in  $Q_{14}(G)$ , which is impossible. Therefore there is no solution.

In the remaining three possibilities, that is  $b+1 = d'$ ,  $d+1 = b'$ , and  $d+1 = d$ , there is no solution for  $Q(G) = Q(H)$ . The proof is similar to that of Subcase 1.2.2.5.

**For Case 2 ( $b' = r+3$ )**, Case 3 ( $c' = r+2$ ), and Case 4 ( $c' = r+3$ ), there is no new solution for the equation  $Q(G) = Q(H)$ . The proof is similar to that of Case 1. The detailed proof can be obtained by e-mail from the second author or viewed at <http://www.fsas.upm.edu.my/~yhipeng/publish/p2234.pdf>.  $\square$

**Corollary.** We discover that the conjecture in [6] is only true for  $r = 1$ . For each  $r \geq 2$ , we provide two counterexamples as follows.

- $G_r^0(r+2, b; b+1, b+r+2) \sim G_{r+2}^0(r+1, b+1; b, b+r+1)$  for  $b \geq 4$  but  $G_{r+2}^0(r+1, b+1; b, b+r+1) \notin C_r(r+2, b; b+1, b+r+2)$ .
- $G_r^0(r+2, c+r+2; c, c+1) \sim G_{r+2}^0(r+1, c+r+1; c, c+1)$  for  $c \geq 4$  but  $G_{r+2}^0(r+1, c+r+1; c, c+1) \notin C_r(r+2, c+r+2; c, c+1)$ .

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## A few identities involving partitions with a fixed number of parts

Jean-Lou De Carufel

### 1 Notation and elementary identities

The partition function  $P(n)$  gives the number of ways of writing an integer  $n$  as a sum of positive integers without regard to the order. Let  $p(n, k)$  be the number of solutions of the diophantine equation

$$(1) \quad x_1 + x_2 + \dots + x_k = n,$$

where  $0 < x_1 \leq x_2 \leq \dots \leq x_k$  and denote by  $p_r(n, k)$  the number of solutions of (1) with  $r \leq x_1 \leq x_2 \leq \dots \leq x_k$ .

By solving the recurrence relationship

$$p(n, m) - p(n-m, m) = p(n-1, m-1)$$

for small values of  $m$ , Colman [1] obtained formulas for  $p(n, 1)$ ,  $p(n, 2)$ ,  $p(n, 3)$ ,  $p(n, 4)$ ,  $p(n, 5)$  and  $p(n, 6)$ . With simple combinatorial considerations together with the formulas

$$\begin{aligned} \left[ \frac{n-1}{k} \right] + \left[ \frac{n-2}{k} \right] + \dots + \left[ \frac{n-k}{k} \right] &= n-k, \\ \sum_{i=1}^3 \left\| \frac{(n-i)^2}{12} \right\| &= \begin{cases} \left( \frac{n-2}{2} \right)^2 & \text{if } n \text{ is even,} \\ \frac{(n-3)(n-1)}{4} & \text{if } n \text{ is odd,} \end{cases} \end{aligned}$$

where  $\|x\| = [x + 1/2]$  is the integer closest to  $x$  (and  $[ ]$  is the greatest integer function), and the formulas for the sum of the  $k^{\text{th}}$  powers of the first  $n$  integers, we will derive some slick expressions for  $p(n, 1)$ ,  $p(n, 2)$ ,  $p(n, 3)$  and  $p(n, 4)$ . Finally, we are going to use these identities together with a technique of Hirschhorn (see [2]) to give a formula for  $p(n, 5)$ . Our approach and our method appear to be elementary and original and lead to formulas equivalent to those of Colman [1].

First, let us remark that

$$p(n, 1) = 1, \quad p(n, 2) = \left[ \frac{n}{2} \right], \quad p_r(n, 2) = \left[ \frac{n}{2} \right] - (r-1)$$